IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

§ §

§

§

§ § § § §

§ § §

§ §

§

57e602 #3

E: Application of: Bruce Work, Eric Schwartzman, Greg Holder and David Watts

For: METHOD AND APPARATUS FOR
TRACKING VENDOR COMPLIANCE
WITH PURCHASER GUIDELINES AND
RELATED METHOD FOR THE
COMMERCIAL DISTRIBUTION OF
SOFTWARE AND HARDWARE
IMPLEMENTING SAME

Assistant Commissioner for Patents
United States Patent & Trademark Office
Washington, DC 20231

Serial No:

09/760,556

Filed:

January 16, 2001

Group Art Unit: Unassigned

Examiner:

Unassigned

Atty Dkt:

23647-P001US

CERTIFICATE OF MAILING (37 C.F.R. 1.8)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below.

3.19-01 Date

Signature

Printed Name

RESPONSE TO NOTICE TO FILE MISSING PARTS DATED FEBRUARY 27, 2001 AND REQUEST FOR CHANGE OF ATTORNEY DOCKET

Sir:

This paper is submitted in response to a Notice to Filing Missing Parts of Nonprovisional Application dated February 27, 2001, and is accompanied by a \$65.00 check for payment of the fee pursuant to 37 C.F.R. § 1.16(e). If the check is inadvertently omitted, is insufficient in amount, or if any other fees are determined to be due, however, the Commissioner is authorized to charge such fees or credit any overpayments to Winstead Sechrest & Minick Deposit Account No. 23-2426/23647-P001US.

Enclosed herewith are the following:

- (1) an Inventors' Declaration executed by each of the inventors;
- (2) an Assignment of the invention to Compliance Networks, L.L.C. and accompanying Assignment Recordation cover sheet (Forms PTO-1619A, B & C);
- (3) a \$65.00 check pursuant to 37 C.F.R. § 1.16(e);

In re Patent Application of Bruce Work et al. Response to Notice to File Missing Parts of Nonprovisional Application

- (4) a \$40.00 check pursuant to 37 C.F.R. § 1.21(h) for recordation of the Assignment;
- (5) a copy of the Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney document erroneously submitted to the PTO without an accompanying Assignment on February 19, 2001.

The undersigned respectfully requests that the PTO records be changed to reflect the correct attorney docket reference number which is 23647-P001US; the Notice to File Missing Parts erroneously identified attorney docket 23647-P002US.

Respectfully submitted,

Date: 19-MAR-2001

Hugh R. Kress Reg. No. 36,574

Winstead Sechrest & Minick P.C.

Hugh R. Buss

2400 Bank One Center

910 Travis Street

Houston, Texas 77002

(713) 650-2714 (voice)

(713) 650-2400 (fax)

ATTORNEYS FOR ASSIGNEE

HOUSTON_1\484794\1



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/760,556

01/16/2001

Bruce Work

23647-P002US

CONFIRMATION NO. 3816

FORMALITIES LETTER

OC000000005804436*

Hugh R. Kress Winstead Sechrest & Minick P.C. 2400 Bank One Center 910 Travis Street Houston, TX 77002

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Cea

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/23/2001 GDEBELA1 00000065 09760556

01 FC:205

65.00 OP

MAR - 7 2001